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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

05/08/01
1c796 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.	4191-00025	Total Pages	30+
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Named Inventor or Application Identifier			
		Kurt M.A. Badeau			
		Express Mail Label No.	E1661050850US		
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)			
2. <input checked="" type="checkbox"/> Specification [Total Pages 18] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure		7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identify of above copies			
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 6]		8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
4. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)			
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		10. <input type="checkbox"/> English Translation Document (if applicable)			
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: /		11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
18. CORRESPONDENCE ADDRESS		12. <input type="checkbox"/> Preliminary Amendment			
<input type="checkbox"/> Customer Number or Bar Code Label		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
Insert Customer No. or Attach bar code label here		14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired			
NAME Michael E. Taken (Reg. No. 28,120) ANDRUS, SCEALES, STARKE & SAWALL, LLP		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)			
ADDRESS 100 East Wisconsin Avenue Suite 1100		16. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail</u>			
CITY Milwaukee	STATE Wisconsin	ZIP CODE 53202-4178			
COUNTRY U.S.A.	TELEPHONE (414) 271-7590	FAX (414) 271-5770			

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CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the 8th day of May, 2001. The Express Label is EL661050850US.

Jo Ann Kuczynski
Jo Ann Kuczynski

5-8-2001

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05/08/01
PTO

PTO/SB/17 (10/97)				COMPLETE IF KNOWN							
FEE TRANSMITTAL				Application Number							
				Filing Date							
				First Named Inventor							
				Group Art Unit							
				Examiner Name							
Total Amount of Payment (\$)				Attorney Docket Number							
(\$)				4191-00025							
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)							
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 01.2010 Deposit Account Name ANDRUS, SCEALES, STARKE & SAWALL <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing Of the Notice of Allowance, 37 CFR 1.311(b)				2. Additional Fees Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid							
3. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				105 130 205 65 Surcharge-late filing fee or oath 127 50 227 25 Surcharge-late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for response within first month 116 390 216 195 Extension for response within second month 117 890 217 445 Extension for response within third month 118 1,390 218 695 Extension for response within fourth month 128 1,890 228 945 Extension for response within fifth month 119 310 219 155 Notice of appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive unavoidably abandoned application 141 1,240 241 620 Petition to revive unintentionally abandoned application 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 300 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Statement 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b)) Other fee (specify) _____ Other fee (specify) _____							
FEE CALCULATION (fees effective 12/29/99)				SUBTOTAL (3) (\$)							
1. Filing Fee Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)				101 710 201 355 Utility filing fee 710 106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filing fee SUBTOTAL (1) (\$) 710.00				2. Claims Extra Fee from Fee Paid below Total claims 37 -20= 17 X 18 = 306 Independent 6 - 3= 3 X 80 = 480 Claims Multiple Dependent X = Claims Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)			
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim 109 80 209 40 Reissue independent claims over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 786.00				123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Statement 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b)) Other fee (specify) _____ Other fee (specify) _____ SUBTOTAL (3) (\$) *Reduced by Basic Filing Fee Paid							
SUBMITTED BY				COMPLETE (if applicable)							
Type or Printed name	Michael E. Taken			Registration Number		28,120					
	Andrus, Sceales, Starke & Sawall, LLP					Deposit Account					
Signature	<i>Michael E. Taken</i>			Date	5/8/01		User ID				

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Kurt M.A. Badeau
	Title	Dual Section Exhaust Aftertreatment Filter and Method	
	Atty. Docket Number		4191-00025

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 8, 2001

Date

Michael E. Taken

Signature

Michael E. Taken

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**